Statement of Deficiencies	**(X1) Provider/Supplier/CLIA Identification Number**	**(X3) Date Survey Completed**
	031979	08/20/2020
Name of Provider or Supplier	**Street Address, City, State**	
Horizon Health And Wellness, Inc	450 West Adamsville Road, Suite A, Florence, AZ	

For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.

(X4) ID Prefix Tag	**Summary Statement of Deficiencies** (Each deficiency should be preceded by full regulatory or LSC identifying information)
J0102	STAFFING AND STAFF RESPONSIBILITIES CFR(s): 491.8(c)(2) 491.8(c)(2) The physician assistant or nurse practitioner performs the following functions, to the extent they are not being performed by a physician: (i) Provides services in accordance with the clinic's . . . policies; (ii) Arranges for, or refers patients to, needed services that cannot be provided at the clinic . . . .; and (iii) Assures that adequate patient health records are maintained and transferred as required when patients are referred. This STANDARD is not met as evidenced by: Based on review of the facility's policies/procedures, document, medical records, and interview, it was determined that the facility failed to require that a medical healthcare provider assessed, and evaluated a patient whose observation stay exceeded the 23 hours and 59 minutes. This deficient practice poses a risk to the health and safety of the patient(s), when a medical healthcare provider does not document a patient assessment/evaluation in the patient's medical record daily, when the patient remains in an observation status for multiple days. Findings include: Policy titled "23 Hours & 59 Minute Behavioral Health Observation & Stabilization Unit (#VI-2; 05/11/2018), revealed: " ...XI ...Treatment ...I ...clinical staff, including the ...BHMP, will enter progress notes, as clinically indicated to document services provided to the recipient ...K ...for those recipients remaining in the observation/stabilization unit beyond 23 hours 59 minutes, the following requirements will be met ...2 ...BHMP will document ...all efforts attempting to identify and secure an appropriate setting for further treatment ...3 ...progress notes will include the reason why the recipient's discharge has been delayed beyond the 23 hours and 59 minutes

	...." Policy titled "Medical Record Standards and the Process for Responding to Requests" (#III-1; 05/11/2018), revealed: " ...Medical Records Management Standards ...L ... progress notes must be documented in the recipient file on the date that an event occurs ...D ...Clinical Information ...13 ...Progress notes which contain ...type of service provided ...date and duration the service was delivered ...description of what occurred during the provision of service ...individual's response to the service ...." Document titled "FCHC Adult OBS Provider Schedule July 2020", revealed the following: i. 07/19/2020: Provider #1 scheduled (0700-1900) Medical Record review conducted 08/18/2020 (1330), revealed the following: i. Progress Notes: Documented evidence of entries made by a medical provider on 07 /17/2020 (1515); 07/18/2020; 07/20/2020 (1400); 07/21/2020 (1430); ii. Progress Notes: No documented evidence of an entry made by a medical provider on 07/19/2020. Personnel #1 confirmed during an interview conducted 08/18/2020 (1615), that a Progress Note written by a medical provider, is required daily when the patient exceeds the 23 hour 59 minute observation stay. Additionally, Personnel #1 revealed that the medical record showed no documented evidence of an assessment/evaluation of the patient by Provider #1, and that Provider #1 was scheduled on 07/19/2020.