Statement of Deficiencies	**(X1) Provider/Supplier/CLIA Identification Number**	**(X3) Date Survey Completed**
	55C0001062	04/30/2018
Name of Provider or Supplier	**Street Address, City, State**	
Sacramento Eye Surgicenter	3150 J Street, Sacramento, CA	

For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.

(X4) ID Prefix Tag	**Summary Statement of Deficiencies** (Each deficiency should be preceded by full regulatory or LSC identifying information)
K0918	Essential Electrical Systems Generator or Alternate Source CFR(s): NFPA 101 Electrical Systems - Essential Electric System Maintenance and Testing The generator or other alternate power source and associated equipment is capable of supplying service within 10 seconds. If the 10-second criterion is not met during the monthly test, a process shall be provided to annually confirm this capability for the life safety and critical branches. Maintenance and testing of the generator and transfer switches are performed in accordance with NFPA 110. Generator sets are inspected weekly, exercised under load 30 minutes 12 times a year in 20-40 day intervals, and exercised once every 36 months for four continuous hours. Scheduled test under load conditions include a complete simulated cold start and automatic or manual transfer of all EES loads, and are conducted by competent personnel. Maintenance and testing of stored energy power sources (Type 3 EES) are in accordance with NFPA 111. Main and feeder circuit breakers are inspected annually, and a program for periodically exercising the components is established according to manufacturer requirements. Written records of maintenance and testing are maintained and readily available. EES electrical panels and circuits are marked and readily identifiable. Minimizing the possibility of damage of the emergency power source is a design consideration for new installations. 6.4.4, 6.5.4, 6.6.4 (NFPA 99), NFPA 110, NFPA 111, 700.10 (NFPA 70) This STANDARD is not met as evidenced by: Based on document review, observation, and interview, the facility failed to maintain the uninterruptible power system (UPS). This was evidenced by a semi-annual maintenance report in which deficiencies were found and not corrected, and by a UPS that had accumulated dust and had combustibles stored beside it. This affected three all patients and could result in the malfunction of the UPS during an emergency situation.

NFPA 99, Health Care Facilities Code, 2012 Edition

6.6.4.1.1.3 Stored Energy Power Source. Maintenance and testing of stored energy power supply systems shall be in accordance with NFPA 111, Standard on Stored Electrical Energy Emergency and Standby Power Systems, Section 6.1 through 6.4.5.

NFPA 111, Stored Electrical Energy Emergency and Standy Power Systems, 2010 Edition

8.1 General. The SEPSS shall have routine maintenance and operational testing based on the manufacturer ' s recommendations, instruction manuals, and the minimum requirements of this chapter and subject to the approval of the authority having jurisdiction.

Findings:

During document review, a tour of the facility, and interview with the Administrator on 4/30/18, the UPS was observed and records were requested.

1. At 10:58 a.m., the semi-annual maintenance report dated 4/18/18 was provided. The report indicated that battery 13 was bad and needed to be replaced. Upon interview, the Administrator confirmed the finding and stated the vendor will be coming out to replace the battery on Thursday, 5/3/18.

2. At 12:00 p.m., the UPS was observed. There was dust and build up in the area around the UPS. There was also four 4 feet by 2 feet lay in ceiling tiles, two 2 feet by 2 feet lay in ceiling tiles, and pieces of plastic being stored approximately 2 inches from the UPS.

The users manual stated the following under the Environment-Ventilation section:

"The air around the unit must be clean, dust free, and free of corrosive chemicals or other contaminants." Chapter 8 of the UPS users manual stated the following under the Maintenance and Service section: "...it is important to keep the air vents free of any obstruction. If the environment tends to be extremely dusty, occasionally blow away any accumulation of dust on components."

Upon interview, the Administrator confirmed the finding.