Statement of Deficiencies	**(X1) Provider/Supplier/CLIA Identification Number**	**(X3) Date Survey Completed**
	55C0001061	07/28/2025
Name of Provider or Supplier	**Street Address, City, State**	
Pacific Eye Institute	555 N 13th Avenue, Upland, CA	

For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.

(X4) ID Prefix Tag	**Summary Statement of Deficiencies** (Each deficiency should be preceded by full regulatory or LSC identifying information)
K0521	HVAC CFR(s): NFPA 101 HVAC Heating, ventilation, and air conditioning shall comply with 9.2 and shall be installed in accordance with the manufacturer's specifications. 20.5.2.1, 21.5.2.1, 9.2 This STANDARD is not met as evidenced by: Based on record review and interview, the facility failed to maintain the heating, ventilation, and air-conditioning (HVAC) system. This was evidenced by the missing inspection and testing of the fire dampers every four years. This could result in the spread of fire to other areas during an emergency. This affected two of two patients, staff members, and visitors in two of two smoke compartments. NFPA 101, Life Safety Code, Edition 2012 21.5 Building Services. 21.5.2 Heating, Ventilating, and Air-Conditioning. 21.5.2.1 Heating, ventilating, and air-conditioning shall complywith the provisions of Section 9.2 and shall be in accordancewith the manufacturers specifications, unless otherwisemodified by 21.5.2.2. 9.2 Heating, Ventilating, and Air-Conditioning. 9.2.1 Air-Conditioning, Heating, Ventilating Ductwork, and Related Equipment. Air-conditioning, heating, ventilating ductwork, and related equipment shall be in accordance with NFPA 90A, Standard for the Installation of Air-Conditioning and Ventilating Systems, or NFPA 90B, Standard for the Installation of Warm Air Heating

and Air-Conditioning Systems, as applicable, unless such installations are approved existing installations, which shall be permitted to be continued in service.

NFPA 90A, Standard for the Installation of Air-Conditioning and Ventilating Systems, 2012 Edition

5.4 Fire Dampers, Smoke Dampers, and Ceiling Dampers.

5.4.8.1 Fire dampers and ceiling dampers shall be maintained in accordance with NFPA 80, Standard for Fire Doors and Other Opening Protectives.

NFPA 80, Standard for Fire Doors and Other Opening Protectives, 2010 Edition

19.3 Operational Test.

19.3.1 Fire Dampers. After the installation of a damper is completed, an operational test shall be conducted.

19.3.1.1 The damper shall fully close from the open position.

19.3.1.4 The operational test shall verify that there are no obstructions to the operation of the damper.

19.3.3 Inspection. Following completion of the test, a visual inspection shall be made of the assembly to ensure no obstructions have been introduced.

19.3.4 Documentation. All inspections and testing shall be documented, indicating the location of the fire damper, date(s) of inspection, name of inspector, and deficiencies discovered. The documentation shall have a space to indicate when and how the deficiencies were corrected.

19.4 * Periodic Inspection and Testing.

19.4.1.1 The test and inspection frequency shall then be every 4 years, except in hospitals, where the frequency shall be every 6 years.

19.4.4 If the damper is equipped with a fusible link, the link shall be removed for testing to ensure full closure and lock-in-place is so equipped.

19.4.5 The operational test of the damper shall verify that there is no damper interference due to rusted, bent, misaligned, or damage frame or blades, or defective hinges or other moving parts.

19.4.8 The fusible link shall be reinstalled after testing is complete.

19.4.8.1 If the link is damaged or painted, it shall be replaced with a link of the same size, temperature, and load rating.

19.4.10 All documentation shall be maintained and made available for review by the

	AHJ. Findings: During a review of record and interview with the Office Manager 2, Office Manager 3, and Facilities Manager on 7/28/25, the fire damper records were requested and reviewed. At 3:15 p.m., the facility failed to provide the inspection and testing records of the fire dampers at least every four years. The facility provided a document titled, "Renewal Agreement for HVAC System" that was dated 7/16/25. The agreement failed to indicate the fire dampers were inspected and tested. During an interview, the Facilities Manager stated that he will contact the vendor to see if they have additional documentation. As of 7/29/25 the requested records were not submitted to the California Department of Public Health (CDPH).